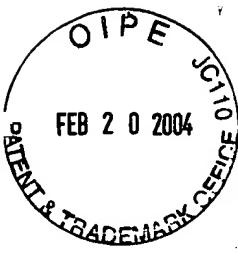


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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. 09/610,160
Filing Date July 5, 2000
Inventor..... Bruce Horn et al.
Group Art Unit 3625
Examiner Brown, Timothy M.
Attorney's Docket No. MK1-003US
Confirmation No. 4511
Title: System and Method for Determining and Tracking Performance Metrics for Individual Investors

RESPONSE TO OFFICE ACTION MAILED AUGUST 20, 2003

To: Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

From: Steven R. Sponseller (Tel. 509-324-9256; Fax 509-323-8979)
Lee & Hayes, PLLC
421 W. Riverside Ave., Suite 500
Spokane, WA 99201

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MAR - 2 2004

GROUP 3600

Claims pending:

- At time of the Office Action: Claims 1-24
- After this Response: Claims 1-31

Canceled claims: None

Amended claims: 1, 2, 6, 7, 13, 16, 19 and 20

New Claims: 25-31

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